



FACCE SURPLUS
SUSTAINABLE AND RESILIENT AGRICULTURE
FOR FOOD AND NON-FOOD SYSTEMS

FACCE-JPI Call for proposals

FACCE SURPLUS
**Sustainable and Resilient agriculture
for food and non-food systems**

FACCE SURPLUS 3rd call Call Announcement

Submission of the pre-proposal on
www.submission-faccejpi.com
Deadline: 19.03.2019, 14:00 CET

Submission of the full proposal on
www.submission-faccejpi.com
Deadline: 17.07.2019, 14:00 CEST

Please visit us on the website
<http://www.faccesurplus.org> and
<http://www.faccejpi.com>
or contact the FACCE-JPI Call Office:
+49 2461 61 2422
ptj-faccejpi@fz-juelich.de



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The Joint Programming Initiative on Agriculture, Food Security and Climate Change (FACCE-JPI) brings together 21 countries that are committed to build an integrated European Research Area addressing the interconnected challenges of sustainable agriculture, food security and impacts of climate change. FACCE-JPI provides and steers research to support sustainable agricultural production and economic growth, to contribute to a European bio-based economy, while maintaining and restoring ecosystem services under current and future climate change.

It aims to do so with a strong transdisciplinary research base, encompassing economic and social aspects in addition to scientific ones, and with a creative approach towards the alignment of national programmes and the input of multiple actors and stakeholders.

The integrated [FACCE-JPI Strategic Research Agenda](#) (SRA) defines 5 core research themes, among which Core Theme 2: Environmentally sustainable growth and intensification of agricultural systems under current and future climate and resource availability. As a first activity under Core Theme 2 (environmentally sustainable growth and intensification of agriculture), FACCE-JPI partners created the [FACCE SURPLUS \(Sustainable and Resilient agriculture for food and non-food systems\) ERA-NET](#).

FACCE SURPLUS is an ERA-NET Cofund, formed in collaboration between the European Commission and a partnership of 15 countries, committed to improve collaboration across the European Research Area in the range of diverse, but integrated, food and non-food biomass production and transformation systems, including biorefining.



1. Scientific scope and aims of the call

In 2016, the UN put forward its Sustainable Development Goals campaign identifying 17 grand challenges of the 21st century. The aim is to secure sustainable development of the world until 2030. The bioeconomy as a vision of a circular based economy providing global food security and renewable raw material supply for industry can strongly contribute to achieve these ambitious development goals by a smart circular-based and cascaded use of biomass which allows to widely replace non-renewable and unsustainable raw materials. However an efficient bioeconomy is crucially dependent on a sufficient, reliable and high-quality supply of biomass.

This was stipulated by the EU and in many of its member states in various strategic documents on bio-economy ([“Innovating for sustainable growth: A bioeconomy for Europe”](#), [“Nationale Forschungsstrategie Bioökonomie 2030”](#) in Germany, [“Supporting growth of the UK bioeconomy: opportunities from waste”](#) in the UK, etc...) In line with these strategies EU Member States founded the FACCE SURPLUS (Sustainable and Resilient agriculture for food and non-food systems) initiative in 2015 under the ERA-NET Cofund scheme. In a first successful joint call in 2015, FACCE SURPLUS provided funding for research on smart biomass production and conversion. In the frame of the call which was co-funded by the EU, [14 projects](#) could be selected for funding via a peer-review with an overall funding amount of approximately 14.5 mio.€ for the period 2016-2019.

In a second call the scope was narrowed focussing on research dedicated to small scale biorefinery concepts also regarding interconnected regional and societal aspects. Launched in 2017, it generated [8 additional excellent research projects](#), which could be started in 2018.

Based on the two previous calls, the FACCE SURPLUS partners decided to further advance their successful funding scheme with a third call of the initiative in order to complete the portfolio of projects and filling remaining research and funding gaps. Having in mind the research already conducted in FACCE SURPLUS projects, this call at the end of the initiative aims at further improving collaboration in the area of food and non-food biomass production and transformation systems.

It aims at supporting innovation, value creation and sustainable intensification of biomass¹ production, taking into account the required economic, environmental and

¹ In this document, biomass should be considered in a “broad” sense, i.e. biomass from plant, animal, forests and aquatic production systems, including residues, side streams, waste...

social conditions and resilience to climate change. In the call, options will be explored how to utilise all the biomass from agricultural land, uncultivated land and forestry with a holistic view with regards to food and non-food systems. In line with the previous calls it is expected that the projects will build upon the research already conducted in FACCE SURPLUS and under the broader umbrella of FACCE-JPI.

Successful projects will address:

- Developing markets for a wide range of products and services generated through integrated food and non-food systems e.g. via new bio-technologies and industrial processes, needs and opportunities of SMEs and intermediate sized businesses.
- Resilient agricultural systems allowing growth and intensification of agriculture under the increasing stress of climate change, new pests and disease outbreaks and other environmental pressures and preserving biodiversity and ecosystem services.
- Environmental sustainability indicators to assess other trade-offs between environmental and production deliverables for any particular agricultural system.
- Spatial targeting of land use to increase biomass production and transformation, stimulating the growth of systems for the efficient utilisation of biomass cascading through novel transformations.
- Sustainable intensification of integrated food and non-food systems of agriculture, by developing integrated, systems-based approaches to land management.

Additional requirements to the projects:

- Each research project proposal must include a plan for valorization of results and link with FACCE SURPLUS projects from the first and from the second call. Interconnections with other relevant initiatives in the thematic field are desirable.
- Research projects should exploit the potential to produce relevant support for policy makers, e.g. policy briefs.
- Cross-disciplinary projects encompassing biomass production and conversion thus promoting a comprehensive value chain concept will be given priority. Inclusion of private partners (e.g. SMEs), appropriate stakeholders and/or end-users (e.g. farmers) in the consortia is envisaged.
- The integration of social sciences and humanities is encouraged to elaborate a broader economic, environmental and societal context.

2. General explanations

1. Call process

The call process will be carried out online on the

[FACCE-JPI Submission Tool: www.submission-faccejpi.com](http://www.submission-faccejpi.com)

The transnational application process consists of two separate and consecutive steps:

- First step: The project coordinator of an applying research consortium has to submit a pre-proposal on behalf of the consortium, providing key data on the future project proposal. The **deadline for the submission of the pre-proposal is 19.03.2019, 14:00 CET²**. After an eligibility check, selected pre-proposals will be invited to submit a full proposal.
- Second step: Submission of a full proposal by the applying consortium. The **deadline for full proposal submission is 17.07.2019, 14:00 CEST³**.

After the second step, the successful consortia are recommended for funding by the FACCE SURPLUS Call Steering Committee and are then invited to enter into contract negotiations with their funding agency/ies.

The [Annexes](#) of this document provide the contact information of the National Contact Persons (NCPs) in each participating country/region and the National Regulations. **It is required that each partner in a consortium contacts his/her NCP(s) to be informed about the rules in his/her country/region prior to submission of a pre-proposal and a full proposal.**

² CET: Central European Time (e.g. Brussels). Here is provided a link to the World Clock:
<http://www.timeanddate.com/worldclock/>

³ CEST: Central European Summer Time (e.g. Brussels). Here is provided a link to the World Clock:
<http://www.timeanddate.com/worldclock/>

2. Timeline

09.01.2019	Call opening
First step: submission of pre-proposals	
19.03.2019, 14:00 CET	Deadline for pre-proposal submission
22.05.2019	Communication of extended eligibility check to the research project coordinators– Start of step 2
Second step: submission of full proposals	
17.07.2019, 14:00 CEST	Deadline for full proposal submission
Evaluation of the full proposals	
By 06.11.2019	Communication of the evaluation outcomes and the funding recommendation to the research project coordinators and start of national procedures
From 01.01.2020 to 01.04.2020: Expected start of research projects (depends on national/organisational rules and negotiation)	

3. Definitions

Call Steering Committee (CSC):

The Call Steering Committee is the decision-making body in the framework of this call. It is composed of representatives from the participating funding organisations.

FACCE-JPI Call Office:

The Call Office, hosted by *Projekträger Jülich, Forschungszentrum Jülich GmbH*, Germany, is the central contact point for applicants regarding all technical and general issues of the submission. The FACCE-JPI Call Office will be available in general during business days from 09:00 to 16:00 CET/CEST. Beyond this timeframe, it is recommended to contact the Call Office [electronically](#) via email: ptj-faccejpi@fz-juelich.de.

National Contact Person (NCP):

Each participating funding organisation in this call has nominated NCP(s) to provide information on national/organisational funding rules and procedures. It is

required that each partner in a consortium contacts his/her NCP(s) prior to the submission of pre-proposals.

4. Participating countries

The following funding organisations are participating in this call:

Country		Organisation	Estimated Budget (€)
Belgium - Flanders		Flanders Innovation & Entrepreneurship (VLAIO)	1 000 000
Belgium – Flanders		Research Foundation - Flanders (FWO)	200 000
Belgium – French-speaking Community		Fonds National de la Recherche Scientifique - French-speaking Community (FNRS)	200 000
Estonia		Ministry of Rural Affairs (MEM)	100 000
France		Agence Nationale de la Recherche (ANR)	1 000 000
Germany		Federal Ministry of Education and Research (BMBF)	2 250 000
Latvia		Ministry of Education and Science (IZM)	300 000
Lithuania		Ministry of Agriculture (ZUM)	150 000
Poland		The National Centre for Research and Development (NCBR)	600 000
Romania		Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI)	500 000
United Kingdom		Department for Environment, Food and Rural Affairs (DEFRA)	tbc

Applicants from countries/regions listed above may apply for funding from funding organisations in their own countries/regions. In these countries, applicants must pay attention to the [National Regulations](#) and contact the [NCPs](#). Applicants should note that, in some countries, several funding organisations participate in the call with different organisational rules and priorities.

Non-eligible applicants (including applicants from other countries) may participate in research consortia at their own expense or with a separate source of funding. In both cases, a Declaration of Honour must be signed and uploaded (see [Annex](#)).

5. Project coordinators' responsibilities

The research project coordinator

- will **lead the consortium** throughout the application procedure and is fully responsible for the overall project coordination. The coordinator is especially responsible for the correct submission of the pre-proposal and full proposal in due time.
- **ensures that all partners**
 - ✓ fulfil the requirements stated in this Call Announcement and national/organisational requirements and criteria as stated in the [National Regulations](#) and/or communicated by the [NCPs](#),
 - ✓ provide the necessary information and formalities as required
 - ✓ are aware of the [monitoring and reporting duties](#) as well as of the requirements regarding [dissemination and communication](#), and
 - ✓ participate actively in the proposal preparation, by doing their best to guarantee the project eligibility and quality.
- will be the **central contact point** for the Call Steering Committee during the lifespan of the research project (from application till the end of the research project). Accordingly, the research project coordinator is responsible for **sharing all information** with his/her consortium partners. S/he also has to ensure the sound development of the funded project as planned in the proposal until its ending.
- is responsible for the [monitoring and reporting](#) of the project.

6. Confidentiality & Conflict of Interest

FACCE SURPLUS ensures complete confidentiality to applicants, i.e. the proposals will only be read by the national/regional funding organisations and the mandated experts responsible for the eligibility check and evaluation of the proposal. Experts appointed for the evaluation will sign a confidentiality agreement and will be asked to declare any conflict of interest. The proposals will be handled by the FACCE-JPI Call Office.

Only the [publishable information](#) as listed in chapter 2.7 will be published at the end of the call process.

7. Publishable data

A list of the funded projects will be published at the end of the call process (once the projects have been recommended for funding). Therefore applicants should be aware that the **following information from the proposals may be published** by FACCE SURPLUS and FACCE-JPI for promotional purposes:

- Project Title and Project Acronym
- Duration of the project
- Total costs and total funding of the research project
- Organisation name and country of each partner
- Name of the Project Coordinator
- A short publishable summary of the project

It must be noticed that, in addition to these data, the following information from the proposals will also be communicated to the EC:

- Organisation type, acronym, department, address, PIC number for each partner
- Total costs and total requested grant for each partner
- Name and E-mail of the main contact for each partner

3. Eligibility criteria (mandatory for each application)

The following criteria are mandatory and must be fulfilled for the eligibility of any pre-proposal **and** full proposal:

1. Scope

- The proposed research project must be consistent with the **scope of this call** and with the national/organisational thematic priorities of the countries/regions involved in the project. National/organisational priorities are described in the National Regulations and/or can be communicated by the NCPs.
- The scope or scale of the proposed research project should exceed a single country. Furthermore, the proposals should strive to be balanced between the countries involved in the project as far as the volume of work is concerned.
- The proposal should not overlap with on-going or completed projects funded by other instruments, programmes or projects.

2. Consortium composition

- The project proposal must involve **eligible partners from at least two countries participating in the call**, unless specified otherwise in the national regulations. The eligibility of a partner can be assessed by checking the [National Regulations](#) and contacting the [NCPs](#): each partner in an applying consortium **must contact his/her NCP(s)** before pre-proposal submission in this regard.
- There is no upper limit of eligible consortium size. Consortia may involve as many partners as necessary to achieve the project goals. However, applicants should be aware that a higher number of represented countries in a consortium will not automatically result in a positive evaluation of the proposal. There is also no upper limit of partners from the same country, unless stated otherwise in the [National Regulations](#).
- The **coordinator** is employed by an eligible organisation from one of the [countries/regions participating in this call](#) (listed in the [table here](#)).
- Partners who are not eligible for funding, including partners from countries not participating in this call may participate at their own expense or if they have their own separate source of funding (see [Annex B](#)). They have to follow the rules given in this Call Announcement. Research partners from countries participating in the call may participate at their own expense as well, provided they follow the rules given in this Call Announcement and the research consortium as a whole comprises eligible partners from at least two different countries participating in the call.

3. Budget

The project costs for individual project proposals are not restricted; it must meet the project goals. [National Regulations](#) must be also consulted in this regard.

The total amount of funding requested from a funding organisation in a proposal may not be higher than the available budget for this organisation. The budgetary issues, including potential restrictions for funding should be checked in the [National Regulations](#) and by contacting the [NCPs](#).

4. Project duration

The project **duration is up to three years** (36 months).

The earliest possible start date for projects recommended for funding is January 1st, 2020. It is expected that all projects start until April 1st, 2020.

Additional national/organisational regulations may apply to permitted lengths, possible start and end date of projects. Applicants must pay attention to the [National Regulations](#) and contact their NCP(s) regarding these issues.

5. Submission

- The pre-proposal and the full proposal must be submitted correctly and completely before the respective deadlines via the FACCE-JPI Submission Tool (www.submission-faccejpi.com) according to the [Application procedure](#) and the guidance provided in the submission tool. Applicants should note that failure to comply with the submission rules will cause ineligibility of the project and therefore exclusion from the application process.
- The proposal must be written in English.

Each pre-proposal submitted correctly and on time will be checked for eligibility as a whole. This means that failure of one partner within the consortium to meet the criteria could cause the entire project to be rejected. The above criteria apply to all partners. These represent the minimal requirements and do not exclude more specific criteria. Partners from countries/regions participating in the call **must also meet the national/organisational criteria as outlined in the National Regulations.** Details can be requested from the NCP.

4. Application procedure

The whole call process will be carried out online on the [FACCE-JPI Submission Tool](#) using the provided templates and online forms.

The application process comprises two steps:

1. First step

In a first step, the research project coordinator creates an account on the [FACCE-JPI Submission Tool](#) and submits electronically a **pre-proposal** with information on the project consortium and a brief description of the proposal. A description of the pre-proposal format is provided in the [annex](#) (this description is provided only for information). Further technical information on the submission of the pre-proposal is provided in the submission tool.

It is possible to update and submit as many times as estimated necessary the pre-proposal until the submission deadline (19.03.2019, 14:00 CET). Applicants should also note that the system may experience high traffic volumes in the last hours preceding the submission deadlines; therefore timely submission is strongly encouraged.

No other document is accepted at this stage. Irrespective of this, the **participating funding organisations may require additional documents** according to their national/organisational regulations; these issues shall be handled directly with the respective participating funding organisation.

The submission of a pre-proposal is mandatory; it is not possible to enter the application procedure at a later stage. The information given in the pre-proposal will be used to check for eligibility.

For any technical questions regarding the submission, please contact the [FACCE-JPI Call Office](#).

2. Second Step

The second step of the application consists of the submission of a more extensive full proposal. Only research consortia which successfully passed the first step will be invited to submit a full proposal and get access to the [FACCE-JPI Submission Tool](#) again.

Full proposals that are correctly and completely submitted before the deadline (17.07.2019, 14:00 CEST) will be subjected to a peer review evaluation by a panel of international experts.

A description of the full proposal format is provided in [Annex](#).

5. Evaluation

1. Eligibility check of the pre-proposals

Pre-proposals that are submitted correctly and within the deadline will be checked for eligibility. The eligibility check will focus on the [eligibility criteria](#) and national/organisational requirements, including the national/organisational priorities (see [National Regulations](#)).

Following this, the Call Steering Committee will select proposals for the second application step. Project coordinators will be informed of the outcome electronically by the FACCE-JPI Call Office.

2. Evaluation of the full proposals

Full proposals that are submitted correctly and within the deadline will be peer reviewed by a panel of international experts and external reviewers. Each expert is independent of any funding organisation involved in this call and no Conflict of Interest must exist to the proposal evaluated. The composition of the evaluation panel will be published on www.facceturplus.org after the funding decision. The panel ranks the proposals based on the following criteria:

Excellence: “Scientific and/or technological excellence - Quality of the transnational project “ (Threshold 3/5)	Impact : “Potential impact” (Threshold 3/5)	Implementation: “Quality and efficiency of the implementation and management” (Threshold 3/5)
<p>Sound concept, and quality of objectives</p> <p>Progress beyond the state of-the-art</p> <p>Quality and effectiveness of the S/T methodology and associated work plan</p> <p>Relevance to the concept of bioeconomy</p> <p>Integration of socioeconomic sciences</p>	<p>Economic and social impact</p> <p>Appropriateness of valorisation strategy</p> <p>Contribution to the advancement of knowledge and innovation approaches and socio-economic aspects of the bioeconomy at European and regional levels</p> <p>Achievement of critical mass and better use of limited resources in fields of mutual interests</p> <p>Appropriateness of measures for spreading excellence, exploiting results and disseminating knowledge through engagement with stakeholders and the public at large of transnational project’s results, and management of intellectual property</p>	<p>Cross-/Transdisciplinarity: inclusion of primary production and transformation sector</p> <p>Appropriateness, quality and efficiency of the management structure and procedures</p> <p>Quality and relevant experience of the individual applicants, including interdisciplinarity</p> <p>Quality of the consortium as a whole (including complementarity, balance)</p> <p>Appropriateness of the allocation and justification of the resources to be committed (budget, staff, equipment ...)</p> <p>Project feasibility and timeliness</p>

Evaluation scores will be awarded globally for each of the three criteria, but not at the level of the sub-criteria. The sub-criteria are issues which the expert should consider in the assessment of that criterion. They also act as reminders of issues to rise later during the discussions of the proposal. Each criterion will be scored out of 5.

The scores indicate the following with respect to the criterion under examination:

0 - The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.

1 - Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.

2 - Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.

3 - Good. The proposal addresses the criterion well, but a number of shortcomings are present.

4 - Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.

5 - Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

The **threshold** for individual criteria will be **3**.

At the end of the evaluation process, the evaluators will decide on one final ranking list of proposals.

3. Funding recommendation

Based on the ranking of the full proposals described above, projects will be recommended for national/organisational funding by the Steering Committee. The outcome of this process will be communicated by the Call Office to the proposal coordinators, who will then have to inform their partners respectively.

Formal funding decisions are made by the participating funding organisations. The funding recommendation is irrevocable and therefore no redress procedure is possible.

6. After the funding recommendation

1. Negotiation of grant agreements / Project funding

- After a positive funding recommendation, the project partners must directly contact their NCP in order to start the contract negotiation and accomplish the remaining steps until the research project can start. The project coordinator is responsible for synchronising the project start with his/her partners.
- The funding of a project is provided based on the virtual common pot scheme, meaning that each funding organisation will fund its own national/regional partner(s) within the project (funding of project partners is provided by the participating funding programmes according to applicable national/organisational funding rules).
- Since in some countries/regions, a Consortium Agreement might be required for release of the funds, successful consortia must negotiate such an agreement before commencement of the project. This should address matters such as the regulation of intellectual property rights and actions to be taken in the event of unsatisfactory performance by one or more partners. Applicants have to obey national/organisational regulations ([National Regulations](#) and [NCPs](#)) regarding this issue. Support for the preparation of a Consortium Agreement can be found on the [DESCA webpage](#).

2. Project monitoring and reporting

The funded projects are considered to form part of an international research programme.

In addition to the reporting required by the national/organisational rules, project coordinators are required to provide a mid-term and an end-term report to the Call Steering Committee according to common templates which will be provided to them. All partners will have to deliver input for these reports. The monitoring will be conducted during the life span of the project.

More information on the monitoring and reporting procedures will be provided to the coordinators of the projects recommended for funding.

3. Communication, dissemination and valorisation

Funded projects must dedicate appropriate resources for communication and dissemination activities including for participation in activities organised by FACCE SURPLUS.

Each research project proposal must include a plan for communication, dissemination and valorisation of results (uptake of research results).

For a well elaborated communication, dissemination and valorisation plan, applicants are advised to take into consideration the guidelines on “Communicating EU Research & Innovation” by the European Commission: http://ec.europa.eu/research/participants/data/ref/h2020/other/gm/h2020-guide-comm_en.pdf

The project coordinator will be invited to participate to FACCE SURPLUS and/or FACCE-JPI events. It is therefore recommended that the project coordinator has planned an appropriate travel budget, for estimated 2 business trips within Europe, in this regard.

Dissemination of project results is requested in the form of various communication routes such as scientific papers, posters, stakeholder involvement, courses or training material, web based tools, workshops or direct intervention towards end users.

Dissemination in all partner countries to national end users is necessary. A more detailed communication and valorisation plan will be requested for the full proposal and should specify how the planned activities will contribute to the impact of the project.

To assist the projects in reaching the stakeholders FACCE SURPLUS will publish a stakeholder-oriented electronic newsletter and prepare dissemination web pages for each funded project.

The project communication and valorisation plan should suggest stakeholder-oriented articles to be published in the FACCE SURPLUS electronic newsletter. All publications, which originate from the projects, must be made available via the project website (unless subject to IPR concerns).

Concerning dissemination activities, proper reference must be given to FACCE SURPLUS and to FACCE-JPI in all related publications, exhibitions, lectures and press information by using the appropriate logo (FACCESURPLUS logo).

Please note that projects will be asked to provide the publications and other outputs from the projects.

It is very important that projects research results provide the evidence-base, feed directly into the policy making and innovation process and provide innovative products / “solutions” to tackle the interconnected challenges of sustainable agriculture, food security and impacts of climate change.

What is expected for Valorisation of results (research results uptake):

- Do you expect that your project will produce results to be used to inform European and international policies (for example Climate, Bioeconomy, Food and Nutrition Security related policies, Common Agricultural Policy)?
- Besides scientific deliverables, what societal, environmental and economic impacts and deliverables do you expect the project might provide?
- Will your project provide some innovations / practical solutions for end-users such as farmers, industry etc.? What technological readiness level (TRL) does your project address?
- How will knowledge exchange and impact generation be achieved within your project?

ANNEXES:

- [A : Table of NCPs](#)
- [B : Declaration of Honour](#)
- [C : Template for pre-proposal](#)
- [D : Template for full proposal](#)
- [E : National Regulations](#)

A. National Contact Persons

Country		Organisation	National Contact Person(s)	
Belgium - Flanders		VLAIO	Marianne Claessens Kirezi Kanobana	Marianne.claessens@vlaio.be Kirezi.kanobana@vlaio.be
Belgium – Flanders		FWO	Alain Deleener Toon Monbaliu	eranet@fwo.be
Belgium – French-speaking Community		FNRS	Florence Quist	florence.quist@frs-fnrs.be
Estonia		MEM	Maarja Malm Helena Pärenson	maarja.malm@agri.ee helena.parenson@agri.ee
France		ANR	Maurice Héral Claude Yven	maurice.herat@agencerecherche.fr Claude.YVEN@agencerecherche.fr
Germany		BMBF	Nicolas Tinois Stefanie Margraf	n.tinois@fz-juelich.de s.margraf@fz-juelich.de
Latvia		IZM	Kaspars Karolis	Kaspars.Karolis@izm.gov.lv
Lithuania		ZUM	Vilma Kraujalytė Justina Vaišvilaitė	Vilma.Kraujalyte@zum.lt justina.vaisvilaite@zum.lt
Poland		NCBR	Kinga Szymańska-Rzeźnik	kinga.szymanska@ncbr.gov.pl
Romania		UEFISCDI	Adrian Asanica Luciana Bratu	adrian.asanica@uefiscdi.ro luciana.bratu@uefiscdi.ro
United Kingdom		DEFRA	Luke Spadavecchia	Luke.Spadavecchia@defra.gsi.gov.uk

B. Declaration of Honour

To be signed and uploaded with the pre-proposal on www.submission-faccejpi.com.

This template may be used for applicants participating in research proposals and which are not eligible for funding (e.g. from a country not participating in the call), in order to provide evidence of their commitment to contribute to a proposed project. Grey-marked fields must be duly completed. Applicants are allowed to use their own templates, however the content of the letter as described hereunder should be regarded as the minimum requirement. This document must be signed by an authorized representative of the organisation.

Address of organisation

Name and coordinates of contact person

FACCE SURPLUS 3rd call

Declaration of Honour

Proposal acronym:

City, Date,

We hereby confirm that **organization** has sufficient resources and is committed to participate to the project **proposal acronym**, in accordance to the pre-proposal which is submitted by **coordinator** in the frame of the FACCE SURPLUS 3rd call and in case the full proposal is recommended for funding by the Call Steering Committee.

Signature of **Name and affiliation:**

C. Pre-proposal template

This template, displaying the content of a pre-proposal, is provided for information only and cannot be uploaded into the submission tool. The proposal submission must be done online. It is recommended to browse the submission tool at an early stage.

Please note that some data cannot be changed at the full proposal stage. This can be viewed in the full proposal template.

FACCE SURPLUS 3rd Call

Pre-proposal

Part A - Summary

Project Title				
Acronym				
Duration of the project (max. 36 months)		Expected Start (dd/mm/yy)		Expected End (dd/mm/yy)
Total cost of the project (k€) ⁴				
Total requested funding (k€) ⁵				
Project summary <i>Max. 1000 characters</i>				
Name of (up to) 3 persons who may not evaluate this proposal	1. 2. 3.			

⁴ The total cost is automatically calculated.

⁵ The total requested funding is automatically calculated.

Part B - Project Consortium

Partner 1 = Project coordinator			
Legal name of organisation:			
Short name of organisation:		Country:	
Type of organisation		specify (optional):	
Post code:		Town:	
Street name, number:			
Additional address information (optional) :			
Website (optional):		PIC number:	
Coordinating person / Contact person for the project			
Academic degree		Ms / Mr	
First name:		Surname:	
Position:		Phone (with int. prefix):	
E-Mail:		Fax (with int. prefix):	
3 Main Publications of this partner relevant for this project:			
1:			
2:			
3:			
Description of the budget of this partner and contribution to the project (max. 2.000 characters):			
Is the budget of this partner including or excluding VAT?			
TRL-range of the research performed by this partner in the project (from X to X):			

Upload field for 1 CV (max.2 DIN A4 page) - Mandatory

Additional team members involved in the project (max. 2; optional)			
Team member 2:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	
Team member 3:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	

I have read my National Regulations and contacted my National Contact Person(s) beforehand in order to assess my eligibility and the eligibility of this Proposal.

Partner 2			
Legal name of organisation:			
Short name of organisation:		Country:	
Type of organisation		specify (optional):	
Post code:		Town:	
Street name, number:			
Additional address information (optional) :			
Website (optional):		PIC number:	
Coordinating person / Contact person for the project*			
Academic degree		Ms / Mr	
First name:		Surname:	
Position:		Phone (with int. prefix):	
E-Mail:		Fax (with int. prefix):	
3 Main Publications of this partner relevant for this project:			
1:			
2:			
3:			
Description of the budget of this partner and contribution to the project (max. 2.000 characters):			
Is the budget of this partner including or excluding VAT?			
TRL-range of the research performed by this partner in the project (from X to X):			

Upload field for 1 CV (max.2 DIN A4 page) - Mandatory

Additional team members involved in the project (max. 2; optional)			
Team member 2:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	
Team member 3:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	

I have read my National Regulations and contacted my National Contact Person(s) beforehand in order to assess my eligibility and the eligibility of this Proposal.

Partner N			
Legal name of organisation:			
Short name of organisation:		Country:	
Type of organisation		specify (optional):	
Post code:		Town:	
Street name, number:			
Additional address information (optional) :			
Website (optional):		PIC number:	
Coordinating person / Contact person for the project*			
Academic degree		Ms / Mr	
First name:		Surname:	
Position:		Phone (with int. prefix):	
E-Mail:		Fax (with int. prefix):	
3 Main Publications of this partner relevant for this project:			
1:			
2:			
3:			
Description of the budget of this partner and contribution to the project (max. 2.000 characters):			
Is the budget of this partner including or excluding VAT?			
TRL-range of the research performed by this partner in the project (from X to X):			

Upload field for 1 CV (max.2 DIN A4 page) - Mandatory

Additional team members involved in the project (max. 2; optional)			
Team member 2:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	
Team member 3:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	

I have read my National Regulations and contacted my National Contact Person(s) beforehand in order to assess my eligibility and the eligibility of this Proposal.

Part C - Budget plan

Enter only digits; integer number in k€ without special nor empty characters

	Personnel	Travel	Consumables/ Equipment	Subcontracts	Other costs	Overhead	Total costs (A)⁶	Required funding (B)	Own contribution (=A-B)⁷
Partner 1									
Partner 2									
...									
Partner N									

⁶ Automatically calculated as the sum of the costs amounts entered

⁷ Automatically calculated

Part D – Project description

(incl. up to 5 “figures” to be uploaded in the submission tool)

Project objectives and expected results (max. 1500 characters)
Overview of the state-of-the-art of knowledge, scientific and technological project description and innovative characteristics of the project (max. 1500 characters)
Justification of the Technology Readiness Level (TRL) of the research performed (max. 500 characters)
The submitted proposal falls under the following TRL(s):
Expected environmental, societal, commercial and policy impact of the project (max. 1500 characters)
Relevance to the Scientific scope and to the objectives of FACCE-JPI (see especially FACCE-JPI Strategic Research Agenda, Core Theme 2)? (max. 1500 characters)
Integration of primary production and transformation sectors (max. 1500 characters)
Resources available in partners' institutions and complementarity of the consortium: infrastructure, competences and other means available to implement the project (max. 1500 characters)
Provisional project structure, overview of Work plan and management of the consortium (max. 1500 characters)
Communication and valorisation plan, stakeholders' involvement, in particular policy, decision-makers and practitioners (max. 1000 characters)

Part E – List of references

(Upload field pdf; mandatory; template provided)

Part F – Declaration of Honour

(Upload field pdf; for non-eligible partners; optional; see [annex C](#))

D. Full proposal template

This template, displaying the content of a full proposal, is provided for information only. It is reminded that the submission must occur online. The exact structure of the full proposals might slightly differ from this template.

*Please note that some data cannot be changed at the full proposal stage. This is indicated by a *.*

FACCE SURPLUS Call on Sustainable and Resilient agriculture for food and non-food systems

Full proposal

Part A - Summary

Project Title*					
Acronym*					
Duration of the project* (max. 36 months)		Expected Start* (dd/mm/yy)		Expected End* (dd/mm/yy)	
Total cost of the project (k€) ⁸					
Total requested funding (k€) ⁹					
Project summary <i>Max. 3000 characters</i>					
Name of (up to) 3 persons which may not evaluate this proposal*	1. 2. 3.				

⁸ The total cost is automatically calculated.

⁹ The total requested funding is automatically calculated.

Part B - Project Consortium

Partner 1 = Project coordinator			
Legal name of organisation*:			
Short name of organisation*:		Country*:	
Type of organisation*	specify (optional):		
Post code:		Town:	
Street name, number:			
Additional address information (optional) :			
Website (optional):		PIC number:	
Coordinating person / Contact person for the project*			
Academic degree		Ms / Mr	
First name:		Surname:	
Position:		Phone (with int. prefix):	
E-Mail:		Fax (with int. prefix):	
3 Main Publications of this partner relevant for this project:			
1:			
2:			
3:			
Description of the budget of this partner and contribution to the project (max. 2.000 characters):			
Is the budget of this partner including or excluding VAT*?			
TRL-range of the research performed by this partner in the project (from X to X)*:			

Upload field for 1 CV (max.2 DIN A4 page) - Mandatory

Additional team members involved in the project (max. 2; optional)			
Team member 2:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	
Team member 3:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	

I have read my National Regulations and contacted my National Contact Person(s) beforehand in order to assess my eligibility and the eligibility of this Proposal.

Partner 2			
Legal name of organisation*:			
Short name of organisation*:		Country*:	
Type of organisation*		specify (optional):	
Post code:		Town:	
Street name, number:			
Additional address information (optional) :			
Website (optional):		PIC number:	
Contact person for the project*			
Academic degree		Ms / Mr	
First name:		Surname:	
Position:		Phone (with int. prefix):	
E-Mail:		Fax (with int. prefix):	
3 Main Publications of this partner relevant for this project:			
1:			
2:			
3:			
Description of the budget of this partner and contribution to the project (max. 2.000 characters):			
Is the budget of this partner including or excluding VAT*?			
TRL-range of the research performed by this partner in the project (from X to X)*:			

Upload field for 1 CV (max.2 DIN A4 page) - Mandatory

Additional team members involved in the project (max. 2; optional)			
Team member 2:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	
Team member 3:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	

I have read my National Regulations and contacted my National Contact Person(s) beforehand in order to assess my eligibility and the eligibility of this Proposal.

Partner N			
Legal name of organisation*:			
Short name of organisation*:		Country*:	
Type of organisation*		specify (optional):	
Post code:		Town:	
Street name, number:			
Additional address information (optional) :			
Website (optional):		PIC number:	
Contact person for the project*			
Academic degree		Ms / Mr	
First name:		Surname:	
Position:		Phone (with int. prefix):	
E-Mail:		Fax (with int. prefix):	
3 Main Publications of this partner relevant for this project:			
1:			
2:			
3:			
Description of the budget of this partner and contribution to the project (max. 2.000 characters):			
Is the budget of this partner including or excluding VAT*?			
TRL-range of the research performed by this partner in the project (from X to X)*:			

Upload field for 1 CV (max.2 DIN A4 page) - Mandatory

Additional team members involved in the project (max. 2; optional)			
Team member 2:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	
Team member 3:	Academic degree :	Ms / Mr :	Position :
First name:		Family name:	
E-Mail:		Phone (with int. prefix):	

I have read my National Regulations and contacted my National Contact Person(s) beforehand in order to assess my eligibility and the eligibility of this Proposal.

Part C - Budget plan

Enter only digits; integer number in k€ without special nor empty characters

	Personnel	Travel	Consumables/ Equipment	Subcontracts	Other costs	Overhead	Total costs (A)¹⁰	Required funding* (B)	Own contribution (=A-B)¹¹
Partner 1									
Partner 2									
...									
Partner N									

¹⁰ Automatically calculated as the sum of the costs amounts entered

¹¹ Automatically calculated

Part D - Project Description

(incl. up to 10 “figures”)

Project objectives and expected results (max. 4500 characters)
Overview of the state-of-the-art of knowledge, scientific and technological project description and innovative characteristics of the project (max. 4500 characters)
Justification of the Technology Readiness Level (TRL) of the research performed (max. 1500 characters)
The submitted proposal falls under the following TRL(s):
Expected environmental, societal, commercial and policy impact of the project (max. 4500 characters)
Relevance to the Scientific scope and to the objectives of FACCE-JPI (see especially FACCE-JPI Strategic Research Agenda, Core Theme 2)? (max. 4500 characters)
Integration of primary production and transformation sectors (max. 4500 characters)
Resources available in partners' institutions and complementarity of the consortium: infrastructure, competences and other means available to implement the project (max. 4500 characters)
Provisional project structure, overview of Work plan and management of the consortium (max. 4500 characters)
Communication and valorisation plan, stakeholders' involvement, in particular policy, decision-makers and practitioners (max. 3000 characters)

Part E – List of references

(Upload field pdf; mandatory; template provided)

Part F – Declaration of Honour

(Upload field pdf; for non-eligible partners; optional; see [annex C](#))

Part G - Work Packages

Max. 8 Work Packages; max. 15 pages

WP N	Name of WP	Start Month:	End Month:
Partner			
Person-Months			
<p>Aim of the WP (brief description of the objectives and interrelations with other WPs; max. 1 000 characters):</p>			
<p>Description of the tasks (clearly state who is doing what within the tasks description):</p> <p>Task 1.1: Title (Task leader, partners involved) - Duration : Month xy – Month yz Task description</p> <p>Task 1.2: Title (Task leader, partners involved) - Duration : Month xy – Month yz Task description</p> <p>.....</p>			
<p>Deliverables:</p> <p>D1.1: Title (Month of delivery) Description</p> <p>D1.2: Title (Month of delivery) Description</p> <p>....</p>			
<p>Milestones:</p> <p>M1.1: Title (Month of delivery) Description</p> <p>M1.2: Title (Month of delivery) Description</p> <p>.....</p>			
<p>Risks and contingency (explain the main risks which could jeopardize the planned work in this WP, especially the completion of deliverables, and your plans to overcome them/adapt the work plan):</p>			

...

E. National Regulations

Belgium (Flanders) - VLAIO



Participating organisation:

- Agentschap Innoveren & ondernemen (Flanders Innovation and Entrepreneurship (500 000 euro in agricultural program and 500 000 euro in company funding program)

National Contact Person(s):

- Marianne Claessens, scientific advisor,
phone : +32 2 432 42 09
e-mail : marianne.claessens@vlaio.be
- Elsie Declercq, scientific advisor
Phone : +32 2 432 42 78
e-mail : elsie.declercq@vlaio.be

Name of & link to the funding programmes:

- industrial development projects : <https://www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject>
- industrial research projects : <https://www.vlaio.be/nl/subsidies-financiering/onderzoeksproject>
- Agricultural programme
<http://www.iwt.be/subsidies/LA-trajecten>

Minimum and/or maximum project duration:

Industrial programme : projects may last from 6 to 24 months

Agricultural programme : projects may last from 12 to 36 months

Minimum and/or maximum funding per project:

The minimum and maximum funding per project follows the national rules of the funding scheme (a minimum budget of 50 000 euro will be mandatory, maximum funding is 250 000 euro for the agricultural programme and 500 000 euro for the industrial programmes). The funding percentage follows national rules of funding scheme : The maximum funding percentage for the agricultural programme is 90%, for industrial projects it is 25 – 60 % depending on the size of the enterprise and on the TRL level.

Who can be eligible for funding (incl. industry participation)?

For the agricultural programme only Flemish centres for agricultural research (praktijkcentra), universities and university colleges (hogescholen) and research institutes that are recognized as eligible (as ILVO, VITO, ...) are eligible. Each project needs a solid base of partnership from the bio-economy sector (represented by a user comité) that is responsible for the cofinancing of the project.

For the industrial research programme the eligible partners are Flemish enterprises (with legal entity in Flanders), minimum 1 Flemish enterprise needs to apply for funding. Enterprises can involve scientific partners and subcontractors in the project.

National priorities:

No priorities as long as the projects fit in the program (no thematic restrictions). Focus on multi-actor approach in integrated projects, focus on economic benefits for involved Flemish companies.

Application for funding via Agentschap Innoveren en Ondernemen:

VLAIO provides information on the VLAIO website for applicants with additional templates to be completed. Those templates are mandatory to check the national eligibility and must be sent to the Agentschap Innoveren en Ondernemen on the date of deadline of the pre-proposals. We kindly ask the applicants to apply for a meeting with the Agentschap Innoveren en Ondernemen ultimately 2 weeks before the deadline to check the eligibility aspects.

Belgium (Flanders) - FWO



Participating organisation(s):

Research Foundation - Flanders (FWO) - €200.000

National Contact Person(s):

- Alain Deleener, Policy Advisor Strategic Research Programmes, FWO, +32 2 550 15 95, eranet@fwo.be
- Toon Monbaliu, Advisor Research Affairs, FWO, +32 5 550 15 70, eranet@fwo.be

Name of & link to the funding programmes:

- European Programmes; ERANET: <http://www.fwo.be/en/fellowships-funding/european-programmes/era-net/>
- Research Projects regulations apply: <http://www.fwo.be/en/fellowships-funding/research-projects/research-project/regulations-for-research-projects/>

Minimum and/or maximum project duration:

Max. 36 months

Minimum and/or maximum funding per project:

Max. € 200.000

Who can be eligible for funding (incl. industry participation)?

!Only consortia with min. 3 partners are eligible to FWO!

[Art. 9 of the FWO-regulations on the regular research projects](#) is applicable. In this article is stated who can apply as **supervisor or co-supervisor** for a research project. Only 1x €200.000 can be applied for per consortium. Should multiple Flemish institutions apply for FWO-funding within the same consortium, this max. applicable amount has to be divided accordingly. Besides, a researcher can participate in maximum 2 projects/consortia per call, [conform art. 5 of the research project regulation](#).

National priorities:

The FWO only funds **fundamental research** in this call.

There are no thematic restrictions.

Eligible costs:

Funding money can be used for staff, consumables (incl. travel) and infrastructure. Overhead is not an eligible cost. Notwithstanding, FWO pays the host institutions of a project 6% overhead on top of the funding amount.

Belgium (French-speaking Community)



Participating organisation:

Fonds de la Recherche Scientifique – FNRS (F.R.S.-FNRS)

National Contact Person(s):

- Florence Quist, florence.quist@frs-fnrs.be, +32 2 504 93 51
- Joël Groeneveld, joel.groeneveld@frs-fnrs.be, +32 2 504 92 70

Name of & link to the funding programmes:

PINT-MULTI: <http://www.ncp.fnrs.be/index.php/appels/era-nets>

Minimum and/or maximum project duration:

36 months

Minimum and/or maximum funding per project:

Maximum funding per project is 200.000 EUR.

Who can be eligible for funding (incl. industry participation)?

- Basic research (low Technology Readiness Level) carried out in a research institution from the “Fédération Wallonie-Bruxelles”
- The FNRS will not fund industrial partners or any activity related to the private sector.

“Overhead” is not an eligible cost. If the project is selected for funding, these costs will be subject to a separate agreement between the institution of the beneficiary and the F.R.S.-FNRS. General rules and regulations of FNRS apply: www.frs-fnrs.be

All eligibility rules and criteria can be found in the [PINT-MULTI regulations](#).

Estonia



Participating organisation:

Estonian Ministry of Rural Affairs (MEM)

(Budget 100 000 €)

National Contact Persons:

- Maarja Malm, Chief Specialist of the Research and Development Department, Ministry of Rural Affairs, +372 6256 250, maarja.malm@agri.ee (NCP1)
- Helena Pärenson, Chief Specialist of the Research and Development Department, Ministry of Rural Affairs, +372 625 6550, helena.parenson@agri.ee (NCP2)

Name of & link to the funding programmes:

- The national programme “Applied Research and Development in Agriculture 2015-2021”, is the main funding programme, <http://www.agri.ee/et/pollumajanduslikud-rakendusuuritud-ja-arendustegevus-aastatel-2015-2021>
- The topics related to plant breeding will be funded by „National Programme for Plant Breeding 2009–2019“, <http://agri.ee/et/sordiaretusprogramm-aastatel-2009-2019>

Maximum project duration: 36 months

Maximum funding per project: one project can require up to 100 000 Euros

Who can be eligible for funding (incl. industry participation)?

Participants must be universities or research organisations and meet other requirements of eligibility determined by the national programme “Applied Research and Development in Agriculture 2015-2021”.

National priorities:

Estonian Ministry of Rural Affairs will prioritize proposals on their potential in solving societal challenges, addressing the objectives of the COP21, and in accordance to the general objectives of the Estonian Agricultural, Food and Fisheries Science and Knowledge Transfer Development Plan for 2015-2021 (available in Estonian only, at <https://www.agri.ee/et/eesmargid-tegevused/teadus-arendus-ja-nouanne/teadus-ja-arendustegevus>

Please note that there is a 5% of own contribution required upon funding.

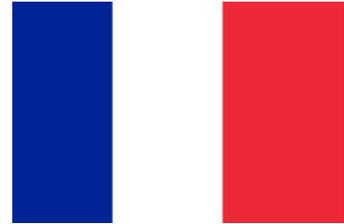
The total requested funding for all Estonian partners within one consortium may not exceed 100 000 €.

Scientific results of the projects must be made publicly available.

It is not necessary to submit any additional national application forms to the Ministry; a transnational application to the central call office is sufficient. The national assessment of pre-proposals will correspond to the rules provided in the call.

Estonian project partners with positively evaluated full proposals will be invited by the Estonian Ministry of Rural Affairs to agreement negotiation.

France



Participating organisation:

Agence Nationale de la Recherche (ANR), budget: 1 000 000 €

National Contact Person(s):

Maurice Héral, programme director, maurice.herat@agencerecherche.fr
Claude Yven, +33 1 73 54 82 87, Claude.Yven@agencerecherche.fr

Name of & link to the funding programmes:

Programme name: ANR Work programme 2019

The present document in its entirety and the regulations concerning the conditions of allocation of ANR funding can be downloaded on ANR website: <http://www.agence-nationale-recherche.fr/>

Minimum and/or maximum project duration:

Proposed projects may not exceed 36 months.

Minimum and/or maximum funding per project:

One project can require a minimum of 15,000 € and up to 250,000 €. If there are more than one French partner, then the 250,000 € have to be shared. If a French partner is coordinator of a proposal, the maximum amount of funding requested by French partner(s) can reach 300,000 €. A partner cannot require less than 15,000 €.

Who can be eligible for funding (incl. industry participation)?

Applicants must include at least one French public partner in the research organization category (university, EPST – Scientific or Technical Public Institution, EPIC – Industrial or Commercial Public Institution). The association with a private partner is encouraged but not mandatory. If a non-French private partner is involved in a project, it is mandatory to involve a French enterprise; unless the French partners will be declared not eligible. It is important to read carefully the call for proposals text, the present document in its entirety, and the regulations concerning the conditions of allocation of ANR funding (<http://www.agence-nationale-recherche.fr/RF>) before submitting a research project.

The French part of the project proposal must not be judged similar to a project that is already financed by the ANR or submitted to another ANR call for proposals in the 2019 edition.

The French part of the project proposal must not be considered to infringe an intellectual property right characterizing a counterfeit within the meaning of intellectual property.

National priorities:

None

Germany



Participating organisation:

Bundesministerium für Bildung und Forschung (BMBF; 2 250 000 €)

National Contact Person(s):

German applicants must obligatorily contact the German National Contact Person(s)!

- Nicolas Tinois, Projektträger Jülich, 0049 2461 61 2422, n.tinois@fz-juelich.de
- Dr. Stefanie Margraf, Projektträger Jülich, 0049 2461 61 9286, s.margraf@fz-juelich.de

Name of & link to the funding programmes:

National Research Strategy BioEconomy 2030, <https://www.bmbf.de/de/biooekonomie-neue-konzepte-zur-nutzung-natuerlicher-ressourcen-726.html>, in particular *Richtlinie zur Förderung transnationaler Forschungsprojekte auf dem Gebiet der Biomasseproduktion und der Biomassetransformation im Rahmen des ERA-NET Cofund FACCE SURPLUS*

Minimum and/or maximum project duration:

Proposed projects may last up to 36 months.

Minimum and/or maximum funding per project:

There is no minimum and/or maximum funding per project.

Who can be eligible for funding (incl. industry participation)?

German applicants must be research groups from academia, universities, research organisations or industry. Enterprises, especially SMEs, may participate as partners provided they can prove their financial stability (see hereunder).

National priorities:

BMBF will support projects within the entire scientific scope outlined in the Call Announcement.

- BMBF funding of the FACCE SURPLUS call is provided as delineated in the announcement *Richtlinie zur Förderung transnationaler Forschungsprojekte auf dem Gebiet der Biomasseproduktion und der Biomassetransformation im Rahmen des ERA-NET Cofund FACCE SURPLUS* which will presumably be published in January 2019 in the Bundesanzeiger.
- Funding bases on §§23 and 44 BHO (Bundeshaushaltsordnung) and associated administrative regulations. The main items are as follows:
 - ✓ Applications must be compatible with the above mentioned national announcement.
 - ✓ The procedure (“Verfahren”) is according to the above mentioned protocol (see Chapter “Application Procedure”). Funding will be awarded as non-repayable project grant.
 - ✓ The funding regulations, the follow up and reporting of publicly funded projects are regulated according to NABF (Nebenbestimmungen für Zuwendungen auf Ausgabenbasis des BMBF zur Projektförderung), BNBest (Besondere Nebenbestimmungen), and NKBF 2017 (Nebenbestimmungen für Zuwendungen auf Kostenbasis des BMBF an gewerbliche Unternehmen für Forschungs- und Entwicklungsvorhaben). Additionally, reporting duties as delineated in this Call Announcement in the Chapter „Project monitoring and reporting“ must be respected as well.
 - ✓ Eligible applicants are universities, research institutions and enterprises, especially SMEs, domiciled in Germany. Research institutions, which receive basic financing, can be funded subject to specific conditions.
 - ✓ For universities intending to request the “Projektpauschale” in the frame of the present call, the project costs budgeted in the pre-proposal must include this “Projektpauschale”.
 - ✓ Double funding is not possible.
 - ✓ In order to prove their financial ability to participate in the proposed project, **Small and Medium Enterprises (SMEs)** have to submit, together with the electronic submission of the Pre-proposal (**documents received latest on 19.03.2019**) the following documents:
 - Financial statement for the last two years (*Jahresabschlüsse der letzten zwei Jahre*)
 - Confirmation of the financial own contribution (*Erklärung zur Aufbringung des Eigenanteils*)
 - Business assessment (*Aktuelle BWA*)
 - Liquidity planing for the duration oft he proposed project (*Liquiditätsplanung für die Laufzeit des vorgeschlagenen Projektes*)

The documents have to be submitted to:
Forschungszentrum Jülich GmbH
Nicolas Tinois
PtJ-BIO7
52425 Jülich
GERMANY

Latvia



Participating organisation(s):

- Ministry of Education and Science of Latvia
(300 000 €)

National Contact Person(s):

Kaspars Karolis, Ministry of Education and Science, Department of Higher Education, Science and Innovation, Senior Expert
Kaspars.Karolis@izm.gov.lv
Ph.: +371 67047996

Name of & link to the funding programmes:

- Support for International Cooperation Projects in Research and Innovation

Minimum and/or maximum project duration:

Proposed projects may last from 12 to 36 months

Minimum and/or maximum funding per project:

Maximum per grant €150,000 for partners, €300,000 for coordinators – Max. LV Total budget requested by ALL Latvian partners within the one proposal: €300,000

Who can be eligible for funding (incl. industry participation)?

R&D institutions (research institutes, universities, higher education establishments, research centres etc.) that are listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia.

National priorities:

-

Lithuania



Participating organisation(s):

- The Ministry of Agriculture (Budget in 150 000 €)

National Contact Person(s):

- Vilma Kraujalytė, Chief officer, The Ministry of Agriculture of Lithuania, phone +37052391084, vilma.kraujalyte@zum.lt
- Justina Vaišvilaitė, Chief officer, The Ministry of Agriculture of Lithuania, +37052391203, justina.vaisvilaite@zum.lt,

Name of & link to the funding programmes:

- Funding rules for international research projects approved by Minister of Agriculture of Lithuania and related legal acts
<https://www.e-tar.lt/portal/lt/legalAct/8604341072bc11e7827cd63159af616c>

Minimum and/or maximum project duration:

36 months

Minimum and/or maximum funding per project:

One project can require up to 150 000 € in country.

Who can be eligible for funding (incl. industry participation)?

Participants must be research organisations (Universities; Public research institutions; Integrated Science, Studies and Business Centers). Enterprises may participate as partners or subcontractors provided they can prove their financial stability.

National priorities:

No additional national priorities.

Poland



Participating organisation(s):

The National Centre for Research and Development
(Narodowe Centrum Badań i Rozwoju), www.ncbr.gov.pl (Budget: 600 000 €)

National Contact Person(s):

- Kinga Szymańska-Rzeźnik, Project Coordinator, NCBR, + 48 22 39 07 457, kinga.szymanska@ncbr.gov.pl

Name of & link to the funding programmes:

- Funding programme BIOSTRATEG: www.ncbr.gov.pl/programy-strategiczne/srodowisko-naturalne-rolnictwo-i-lesnictwo---biostrateg/

Minimum and/or maximum project duration:

Proposed projects may last up to 36 months.

Minimum and/or maximum funding per project:

In relation to the budget limit (600 000 €) it is recommended that one project partner can require up to 200 000 €

Who can be eligible for funding (incl. industry participation)?

Following entities are eligible to apply:

- Research organizations;
- Micro, Small, Medium and Large Enterprise.

Organization must be registered in Poland.

Eligible costs:

The eligible costs shall be the following:

1. **personnel costs** (researchers, technicians and other supporting staff to the extent employed on the research project);
2. **costs of instruments and equipment, technical knowledge and patents** to the extent and for the period used for the research project; if such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, shall be considered eligible;

3. **costs for buildings and land**, to the extent and for the duration used for the research project; with regard to buildings, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice shall be considered eligible; for land, costs of commercial transfer or actually incurred capital costs shall be eligible;
4. **cost of contractual research**, costs of consultancy and equivalent services used exclusively for the research activity; this cost type cannot account for more than **70%** of all eligible costs of a project; the subcontracting can be obtained from consortium partner only in justified case, this need will be verified by a national experts panel;
5. **other operating costs** including costs of materials, supplies and similar products incurred directly as a result of the research activity;
6. **additional overheads** incurred indirectly as a result of the research project; that costs cannot account for more than **25%** of all eligible project costs; That costs (6) are counted as a multiplication by percentage given above (called x%) and the rest of direct costs, excluding subcontracting (4); It means $6=(1+2+3+5)*x\%$.

National funding rates:

Funding quota of Polish participants can be up to 100% for research organizations. In the case of enterprises, funding quota will be decided on a case-by-case basis depending on the size of the company, type of research/development, risk associated with the research activities and commercial perspective of exploitation, under Section 2 of the *Regulation of the Minister of Science and Higher Education of 25 February 2015 on criteria and rules on granting state aid and “de minimis” aid by the National Centre for Research and Development, published in Journal of Laws item 299, 2015.*

	Large Enterprises	Medium Enterprises	Micro/Small Enterprises	Universities and research organizations
Fundamental/Basic Research	n/a	n/a	n/a	n/a
Industrial/Applied Research	Up to 50+15 (max 65 %)	Up to 50+10+15 (max 75 %)	Up to 50+20+15 (max 80 %)	Up to 100 %
Experimental development	Up to 25+15 (max 40 %)	Up to 25+10+15 (max 50 %)	Up to 25+20+15 (max 60 %)	Up to 100 %

In any case only Industrial/Applied Research and Experimental Development will be funded.

Other type of activities (e.g. coordination, dissemination, management) cannot be included into separated task.

All eligible entities, invited to submit Polish proposal are obliged to use the rate of exchange of The European Central Bank dated on the day of opening the call.

National priorities:

No additional national priorities.

Romania



Participating organisation(s):

Executive Unit for Financing Higher Education, Research, Development and Innovation (UEFISCDI) - 500.000 Euro.

National Contact Person(s):

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Name of & link to the funding programmes:

Programme 3-European and international cooperation, Sub-programme 3.2. Horizon2020, ERA-NET and ERA-NET Cofund projects

<https://uefiscdi.ro/resource-86637>

Minimum and/or maximum project duration:

Proposed projects may last from 24 to 36 months.

Minimum and/or maximum funding per project:

The maximum funding for one project from the public budget is 250.000 Euro if Romania is the coordinator of the transnational project. The maximum funding for one project from the public budget is 200.000 Euro if Romania is partner in the transnational project.

Who can be eligible for funding (incl. industry participation)?

Legal entities established in Romania are eligible to get funding, i.e. public and private accredited universities, national R&D institutes, other research organizations, SMEs, large industrial enterprises with R&D activity within their domains. We can fund only Romanian research teams. For universities, public institutions, R&D national institutions funding is 100%, and for SMEs and Large companies, financing is under the permit NASR Decision no 9281/8.13.2015 approving the scheme of State aid for Program 3: European and international cooperation.

National priorities: N/A

Additional specific rules:

The Principal Investigator of Romanian team must hold a Ph.D. degree. This condition does not apply if the Romanian host institution is an enterprise according with the specific European and national laws.

One research team leader will participate only one time in a proposal within the trans-national call as responsible or coordinator.

No simultaneous funding is possible for more than one application under the same call.

The Principal Investigator of Romanian team is full time employed within the host institution with permanent position, with fixed term contract covering at least the duration of the project or has an agreement with the host institution for his/her employment at least for the duration of the contract;

It is forbidden to submit a proposal which seeks to fund activities already funded by other public sources;

The host institution does not have a seizure on its accounts; it has not been declared bankrupt or wound up; it has not made false declarations concerning its economic and legal statute; it has not broken other contract previously signed with a public contracting authority; The host institution agrees to ensure the necessary administrative support, to provide access to all necessary resources/infrastructures, to support the project implementation in good conditions and to employ the members of the Romanian team, in respect of all legal provisions in force, if the project is selected for funding.

The following categories of expenses are eligible:

A. Staff costs (researchers, technicians and support staff, including all corresponding state and social contributions; these contributions are subject to national regulations in force);

B. Consumables (materials, supplies or similar);

C. Equipments (in full compliance with state aid regulations), no more than 30% of the total funding from the public budget;

D. Subcontracting (max. 25% of the total funding from the public budget);

Subcontracting special tasks (i.e. IT services, etc): Yes, expenditure on services performed by third parties cannot exceed 25 % of the funding from the public budget. The subcontracted parts should not be core/substantial parts of the project work.

E. Travel expenses (in Romania or abroad, only for project teams' members);

F. Overheads (calculated as a percentage of direct costs: staff costs, travel expenses and logistics costs - excluding capital costs).

Indirect costs will not exceed 20% of direct costs, excluding subcontracting.

Expenses are eligible if incurred after signature of the contract.

Applicants have to consult and respect the [guideline](#) according to the National Plan for Research, Development and Innovation 2015-2020, for ERA-NET Cofund projects.